



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/672,602	09/29/2000	Carl M. Ellison	042390.P8629X

Thinh V Nguyen
Blakely Sokoloff Taylor & Zafman LLP
12400 Wilshire Boulevard
7th Floor
Los Angeles, CA 90025

FORMALITIES LETTER



OC000000005572414

Date Mailed: 11/22/2000



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/28/2001 BHABTEW 00000052 09672602

01 FC:105

130.00 OP



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Handwritten mark resembling a stylized 'A' or '3'.

In re the Application of:

CARL M. ELLISON, ET AL.

Application No.: 09/672,602

Filed: September 29, 2000

For: **ATTESTATION KEY MEMORY DEVICE AND BUS**

Assistant Commissioner for Patents
Attention: **Box Missing Parts**
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts of Application mailed November 22, 2000, please find enclosed:

(1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;

(2) a check in the amount of \$130.00 in payment of the surcharge of 37 CFR § 1.16(e);

(3) a copy of the Notice to File Missing Parts of Application

(4) a Petition for Extension of Time and check in the amount of \$110.00.

If any additional fee is required, please charge Deposit Account No. 02-2666. An extra copy of the Fee Transmittal is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: February 21, 2001

12400 Wilshire Blvd., 7th Floor
Los Angeles, California 90025
Telephone: (714) 557-3800

Handwritten signature of Caroline T. Do

Caroline T. Do, Reg. No. P-47,529

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Attention: Box Missing Parts, Washington, D.C. 20231 on: February 21, 2001.

Handwritten signature of Eric Hoover

Eric Hoover
02/21/01
Date

TRANSMITTAL FORM*to be used for all correspondence after initial filing)*

Application No.	09/672,602
Filing Date	September 29, 2000
First Named Inventor	Carl M. Ellison
Group Art Unit	2177
Examiner Name	
Attorney Docket Number	42390P8629X

Total Number of Pages in This Submission 19

ENCLOSURES (check all that apply)

- | | | |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form

<input checked="" type="checkbox"/> Fee Attached

<input type="checkbox"/> Amendment / Response

<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)

<input checked="" type="checkbox"/> Extension of Time Request

<input type="checkbox"/> Express Abandonment Request

<input type="checkbox"/> Information Disclosure Statement

<input type="checkbox"/> Certified Copy of Priority Document(s)

<input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application

<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)

<input type="checkbox"/> Drawing(s)

<input type="checkbox"/> Licensing-related Papers

<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition

<input type="checkbox"/> To Convert a Provisional Application

<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address

<input type="checkbox"/> Terminal Disclaimer

<input type="checkbox"/> Small Entity Statement

<input type="checkbox"/> Request for Refund | <input type="checkbox"/> After Allowance Communication to Group

<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences

<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

<input type="checkbox"/> Proprietary Information

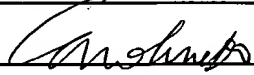
<input type="checkbox"/> Status Letter

<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):

<div style="border: 1px solid black; padding: 5px;"> Associate Power of Attorney; Declaration of Caroline T. Do; Declaration and P of A; cc of Notice to File Missing Parts... </div> |
|--|---|---|

Remarks

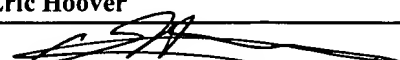
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Caroline T. Do, Reg. No. P-47,529 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	February 21, 2001

CERTIFICATE OF MAILING/TRANSMISSION

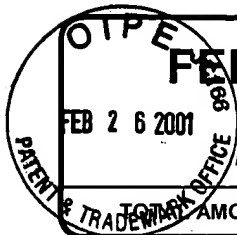
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

February 21, 2001

Typed or printed name	Eric Hoover		
Signature		Date	February 21, 2001

Please type a plus sign (+) inside this box → +

PTO/SB/17 (12/99)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

Complete if Known

Application No.	09/672,602
Filing Date	September 29, 2000
First Named Inventor	Carl M. Ellison
Examiner Name	
Group/Art Unit	2177
Attorney Docket Number	42390P8629X

TOTAL AMOUNT OF PAYMENT (\$) 240.00

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number: 02-2666
- Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP
- ☒ Charge Any Additional Fee(s) Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
2. ☒ Payment Enclosed:
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims		Extra Claims		Fee from below		Fee Paid	
Independent Claims		-		=		X	
Multiple Dependent		-		=		X	

**or number previously paid, if greater. For Reissues, see below

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	260	204	135	Multiple Dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

FEE CALCULATION (continued)

3. ADDITIONAL FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920 *	112	920 *	*Requesting publication of SIR prior to Examiner action	
113	1,840 *	113	1,840 *	*Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	110.00
116	390	216	195	Extension for response within second month	
117	890	217	445	Extension for response within third month	
118	1,390	218	695	Extension for response within fourth month	
128	1,890	228	945	Extension for response within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					
Other fee (specify) _____					
Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					(\$) 240.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Caroline T. Do	Registration No. (Attorney/Agent)	P-47,529	Telephone	(714) 557-3800
Signature				Date	02/21/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED

Please type a plus sign (+) inside this box



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OFFICE OF PATENT & TRADEMARKS **FEE TRANSMITTAL** **for FY 2000**

FEB 26 2001

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TOTAL AMOUNT OF PAYMENT

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Attorney Docket Number	42390P8629X

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Number

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Deposit
Account
Name

Blakely, Sokoloff, Taylor & Zafman LLP

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See 37 CFR 1.27.

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Order ☐ Other

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FEE CALCULATION (continued)

3. ADDITIONAL FEE

Large Entity Small Entity

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Other fee (specify)

* Reduced by Basic Filing Fee Paid

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(\$)

240.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

Caroline T. Do

Registration No.
(Attorney/Agent)

P-47,529

Telephone

(714) 557-3800

Signature

Caroline T. Do

Date

02/21/01

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